



Reconsidering Generic Composition

Chanathip Namprempre

Thammasat University, Thailand

Phillip Rogaway

University of California, Davis, USA

Tom Shrimpton

Portland State University, USA

DIAC 2013

Directions in Authenticated Encryption

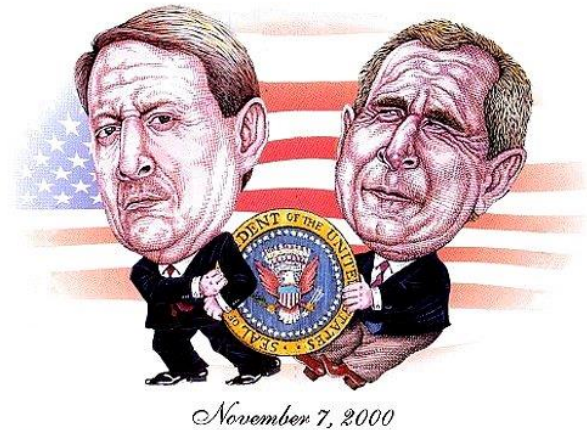
Chicago, Illinois, USA

13 August 2013

Journey back in time to ...

[Bellare-Namprempre – ASIACRYPT 2000]

*Authenticated Encryption: Relations among Notion,
and Analysis of the Generic Composition Paradigm*



What did people learn from BN?

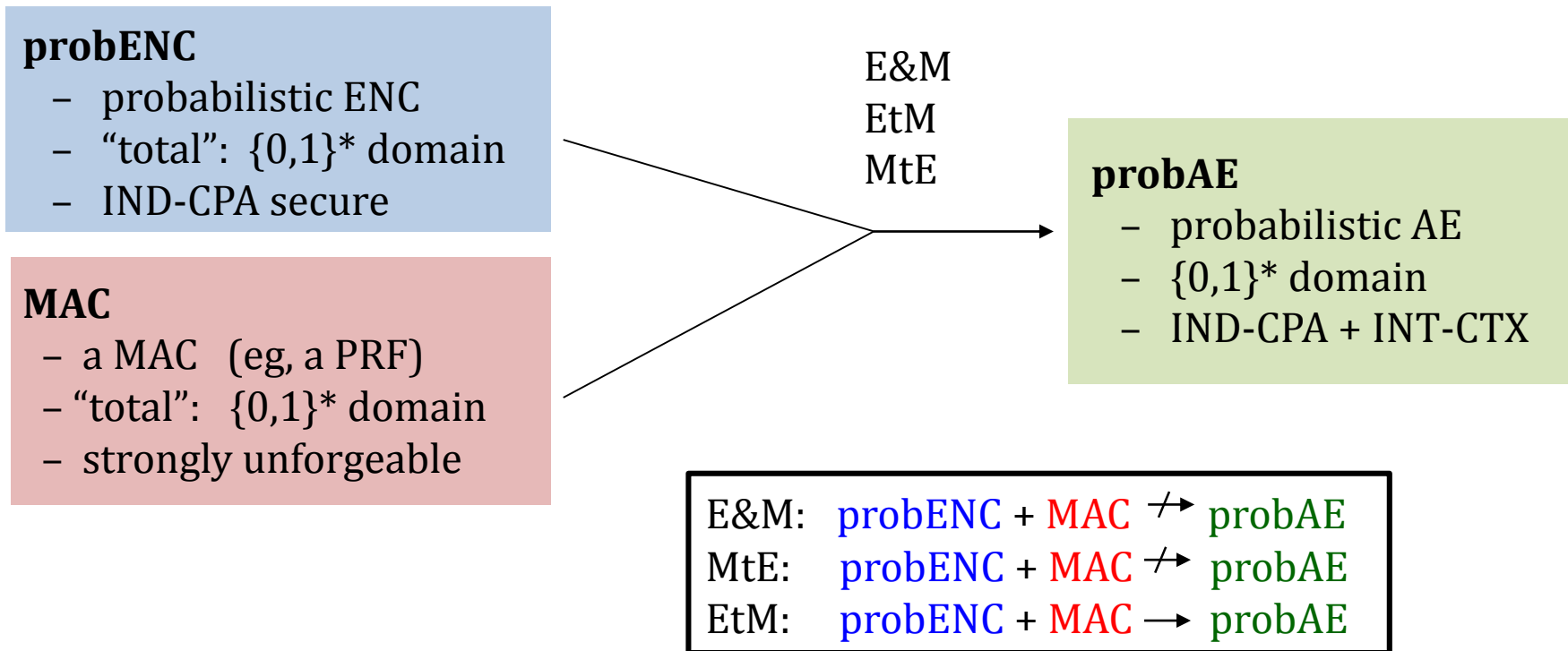
1. There are **three ways** to glue together a (privacy-only) **encryption scheme** and a **MAC** to make an AE scheme
Encrypt-and-MAC Encrypt-then-MAC MAC-then-Encrypt

2. Of these, only **Encrypt-then-MAC** works well:
it will **always** be secure (if the underlying primitives are sound),
while this is untrue for the other two methods

Claim: Not a good summary of [BN]

Why not a good summary?

It doesn't mention **what definitions** BN use



If you **change** the definitions, the **results might change** (duh...)

And they do.

Revised version

1. There are **three ways** to glue together a **probENC** scheme and a **MAC** to make a **probAE scheme** :
Encrypt-and-MAC Encrypt-then-MAC MAC-then-Encrypt

2. Of these, only **Encrypt-then-MAC** works well:
it will **always** be secure (if the underlying primitives are sound),
while this is untrue for the other two methods

When you state it that way, BN doesn't seem so applicable

- 1) Standards don't directly provide probabilistic encryption schemes; they provide **IV-based encryption scheme** (ivENC) and not always total.
- 2) Conventional goal nowadays: **nonce-based AEAD scheme** (nonceAEAD)
- 3) And real-world schemes, like the TLS record protocol, don't respect either abstraction boundary

If you try to directly make
a **nonceAE** scheme
by applying **EtM**
to an **ivENC** scheme and a **MAC**
you might get ...



a crocoduck.

Fortunately, nobody would do that.

Well ...

ISO/IEC 19772, Mechanism 5 (Encrypt-then-MAC)

The originator shall perform the following sequence of steps to protect a data string D .

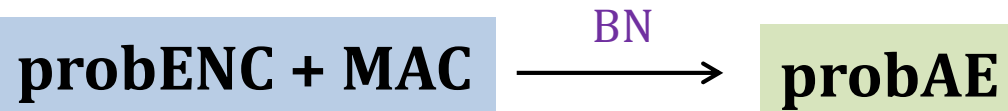
- a) A Starting Variable S for use with the selected block cipher mode of operation shall be selected. This variable shall be distinct for every message to be protected during the lifetime of the key, and must be made available to the recipient of the message. Further possible requirements for S are described in the appropriate clauses of ISO/IEC 10116.
- b) Let $C' = \varepsilon_{K_1}(D)$. CBC, CFB, OFB, CTR (ISO 9797)
- c) Let $T = f_{K_2}(C')$. CBC MAC variants (ISO 10116)

The output of the above process, i.e., the authenticated-encrypted version of D , shall be the bit string $C = C' \parallel T$.

Not good.

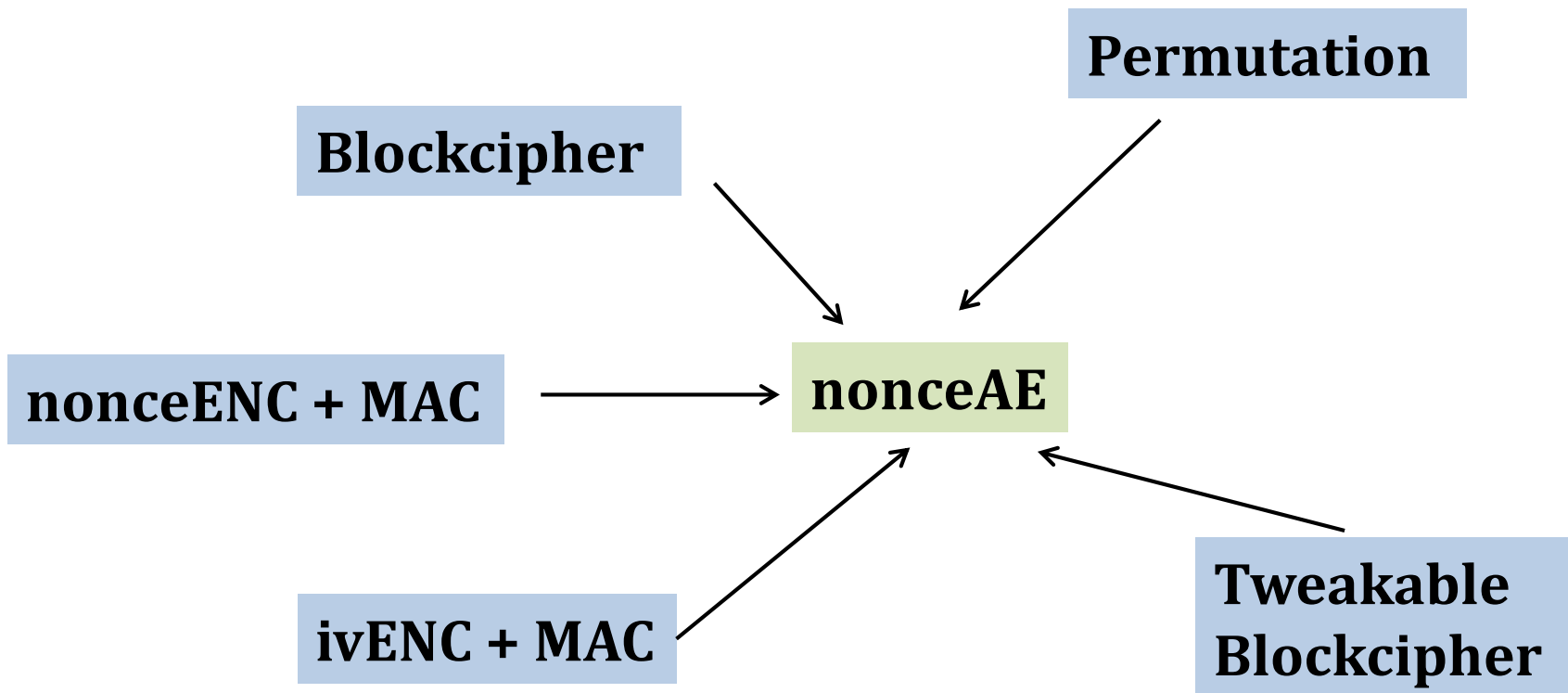
- The SV is not included in the MAC
- Nor is the SV required to be random
- Nor are the underlying encryption modes and MACs total

What is GC about?

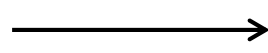


But several **starting points** and several **ending points** are possible

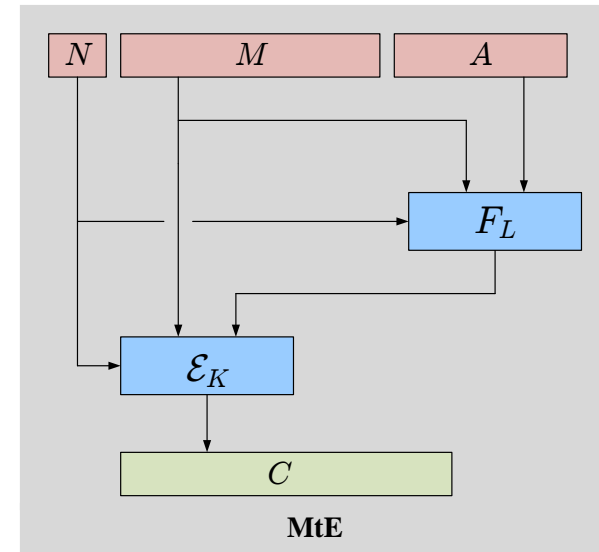
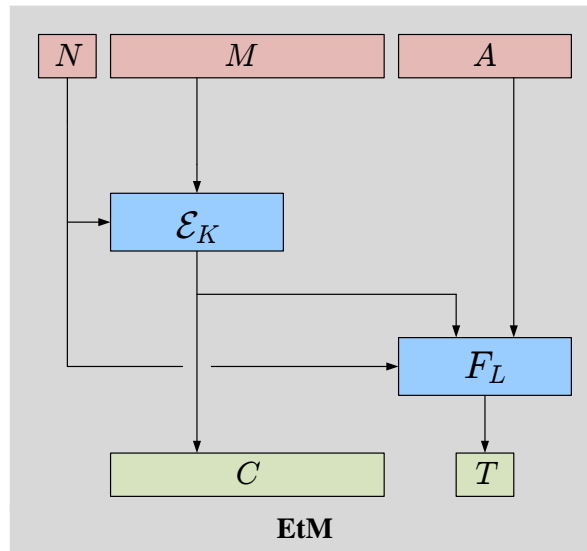
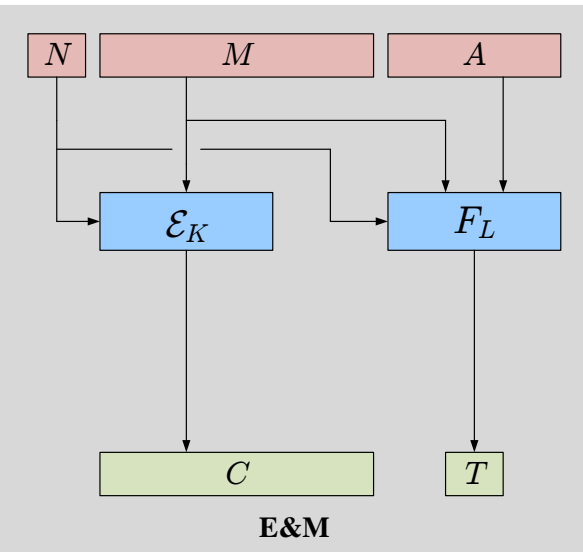
They are not all equal



nonceENC + MAC



nonceAE



All three methods work correctly.

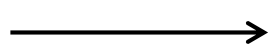
$$\mathcal{E}_K(N, A, M) = C \rightarrow \mathcal{D}_K(N, A, C) = M ?$$

$$\mathcal{D}_K(N, A, C) = M \rightarrow \mathcal{E}_K(N, A, M) = C ?$$

if assume both.

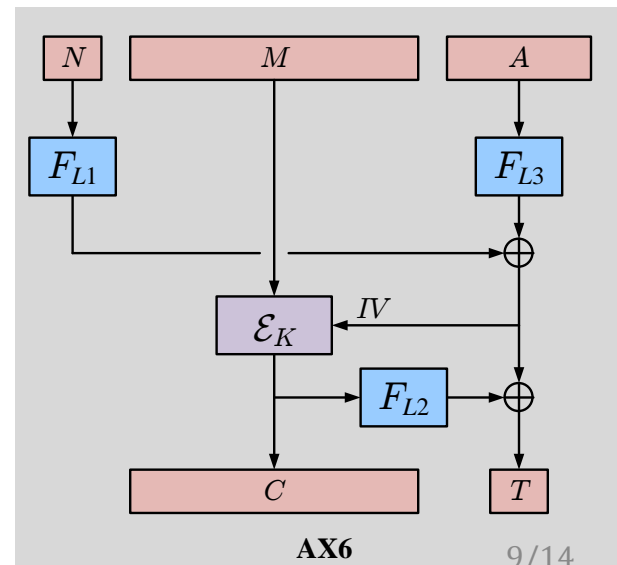
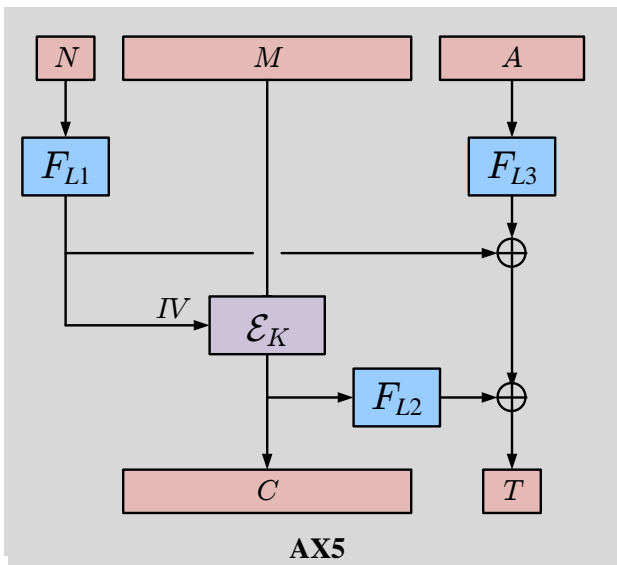
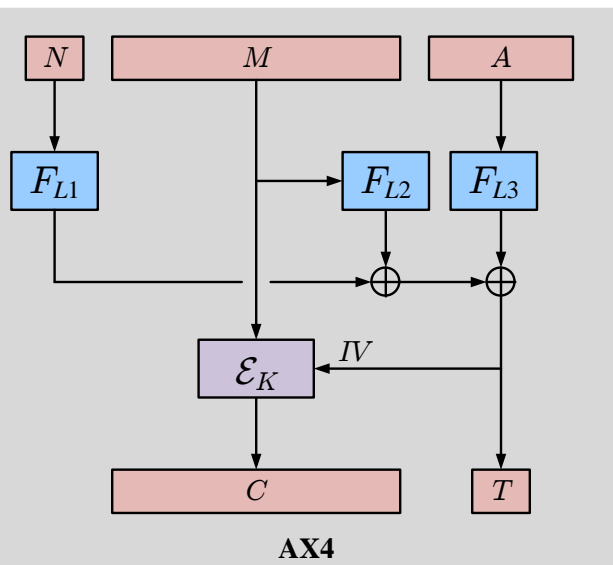
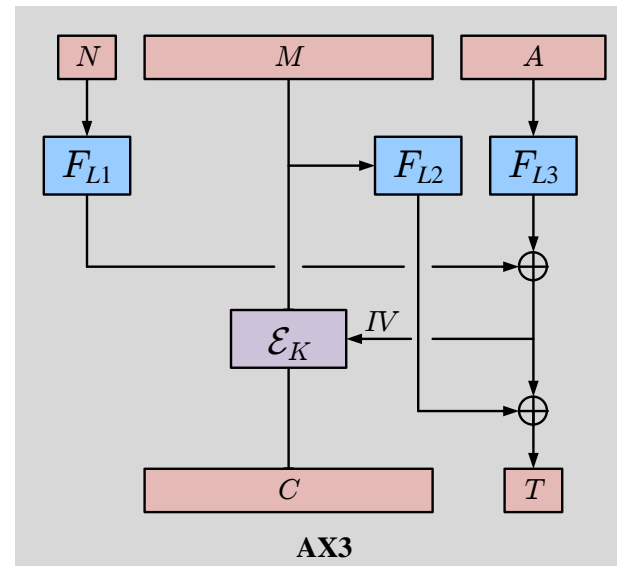
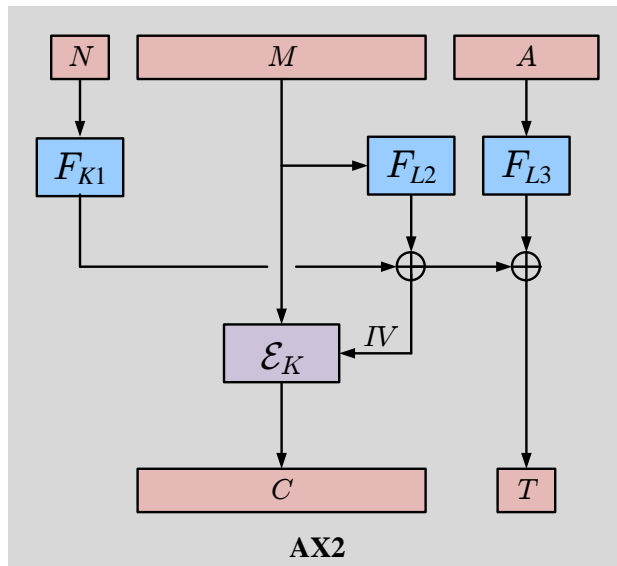
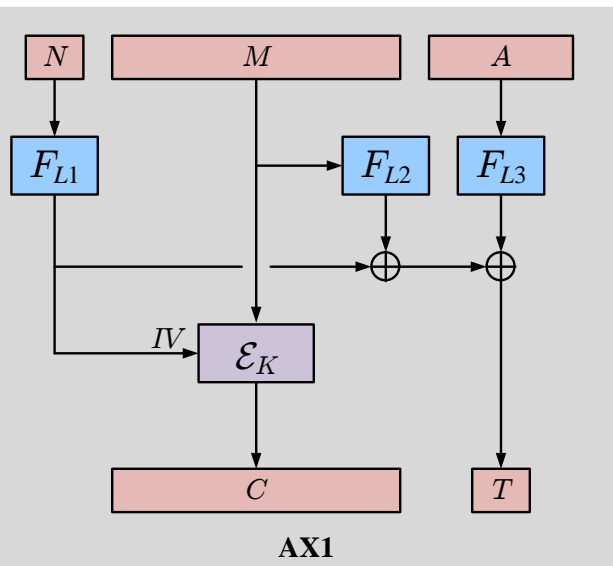
Bellare-Tackmann recently pointed out that, without this, E&M and MtE are insecure, making wrong my claim for MtE security in my CCS02 and FSE04 papers.

ivENC + MAC



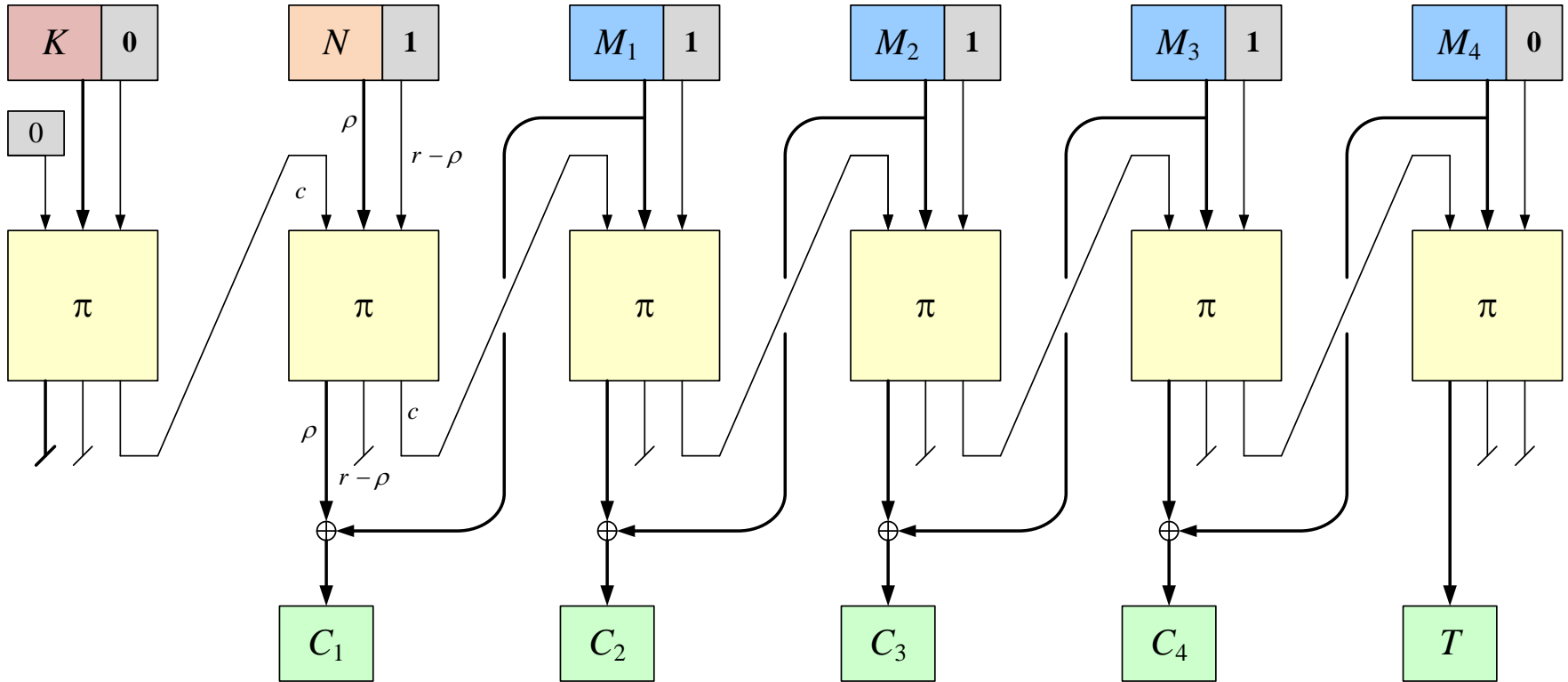
nonceAE

single-input MAC + XOR setting



permutation

nonceAE



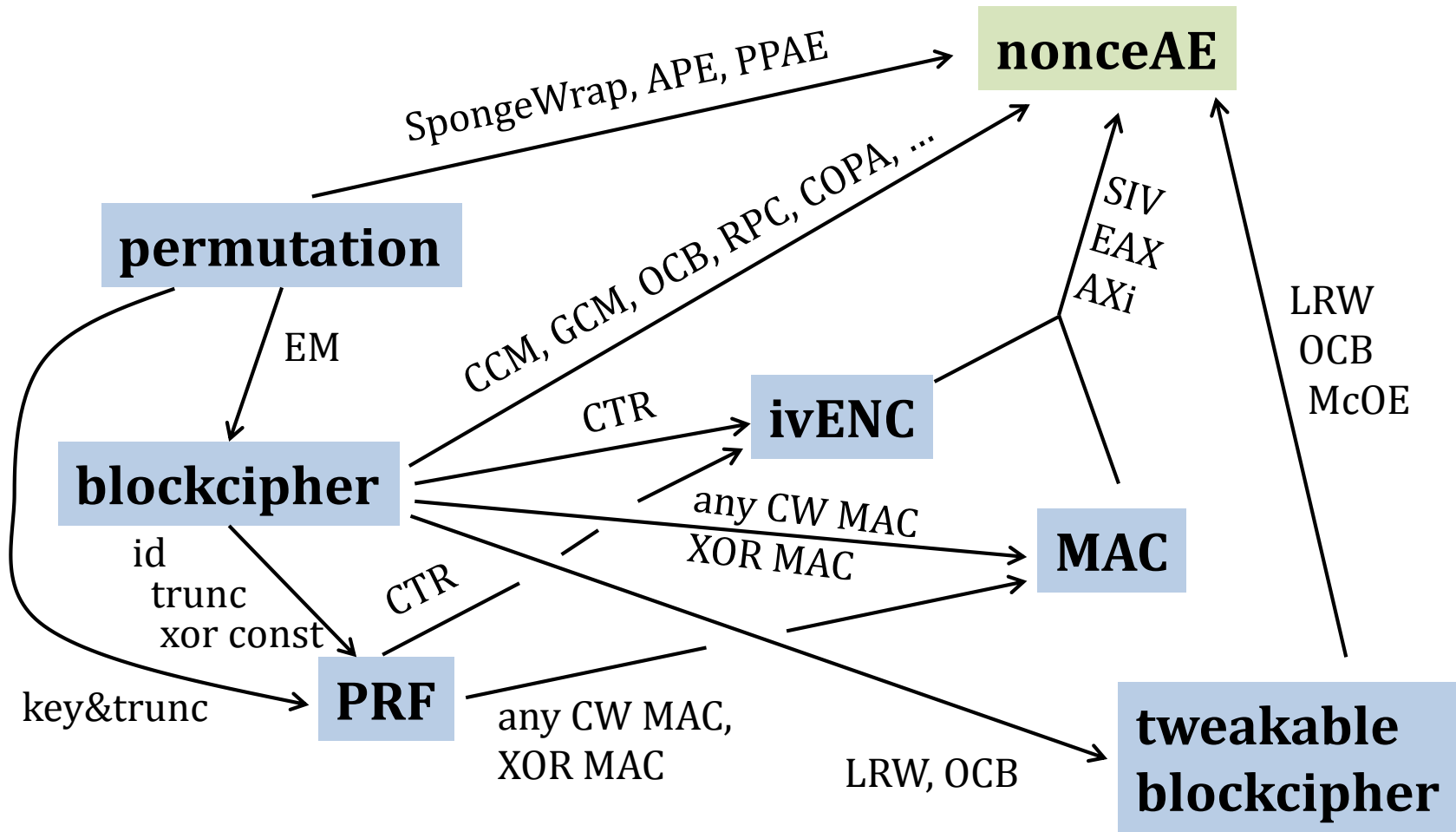
SpongeWrap

[Bertoni, Daemen, Peeters, Van Aasche 2011]

Not parallelizable, rate $\ll 1$, poor bounds, RPM ...
Maybe a sponge not the tool for this job.



Can make permutation-based AE by composition

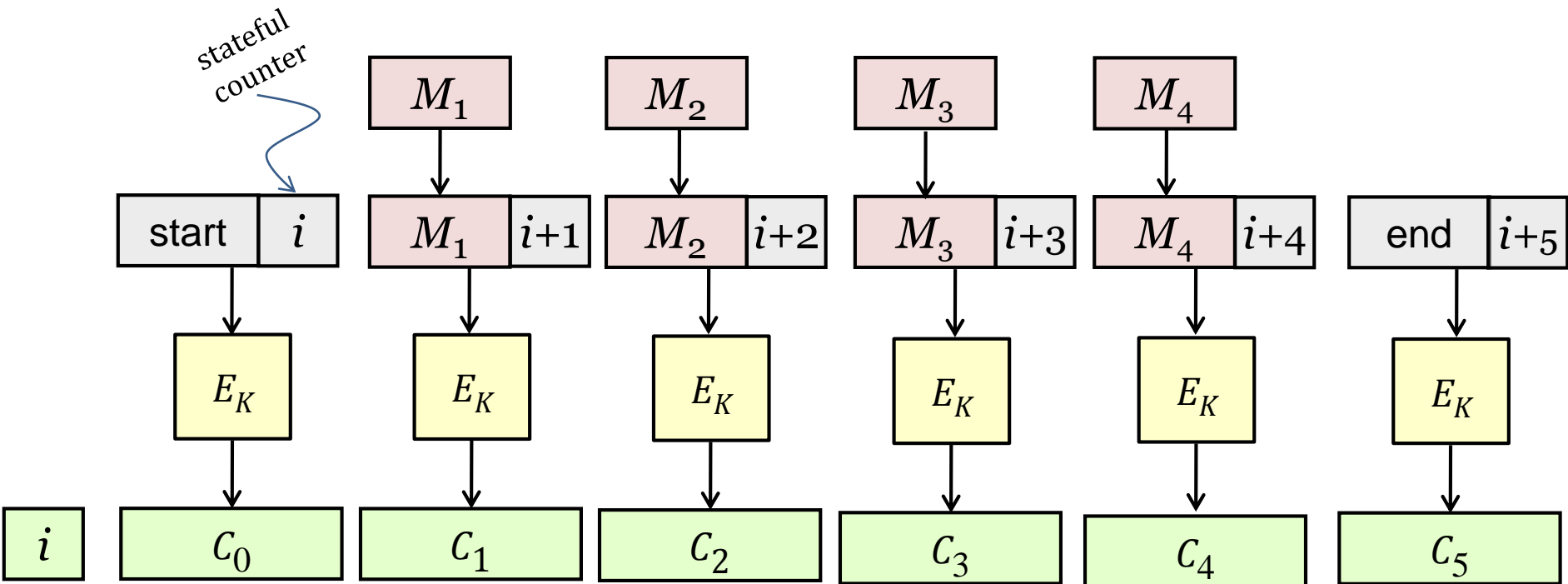


permutation

nonceAE



stateful counter



RPC Mode

[Katz, Yung 2000]

Eg : Permutation-based RPC

via [EM]: $E_K(X) = K \oplus \pi(x \oplus K)$

Parallelizable, standard-model security claim

permutation

nonceAE

$\Delta \leftarrow \text{Init}(N)$

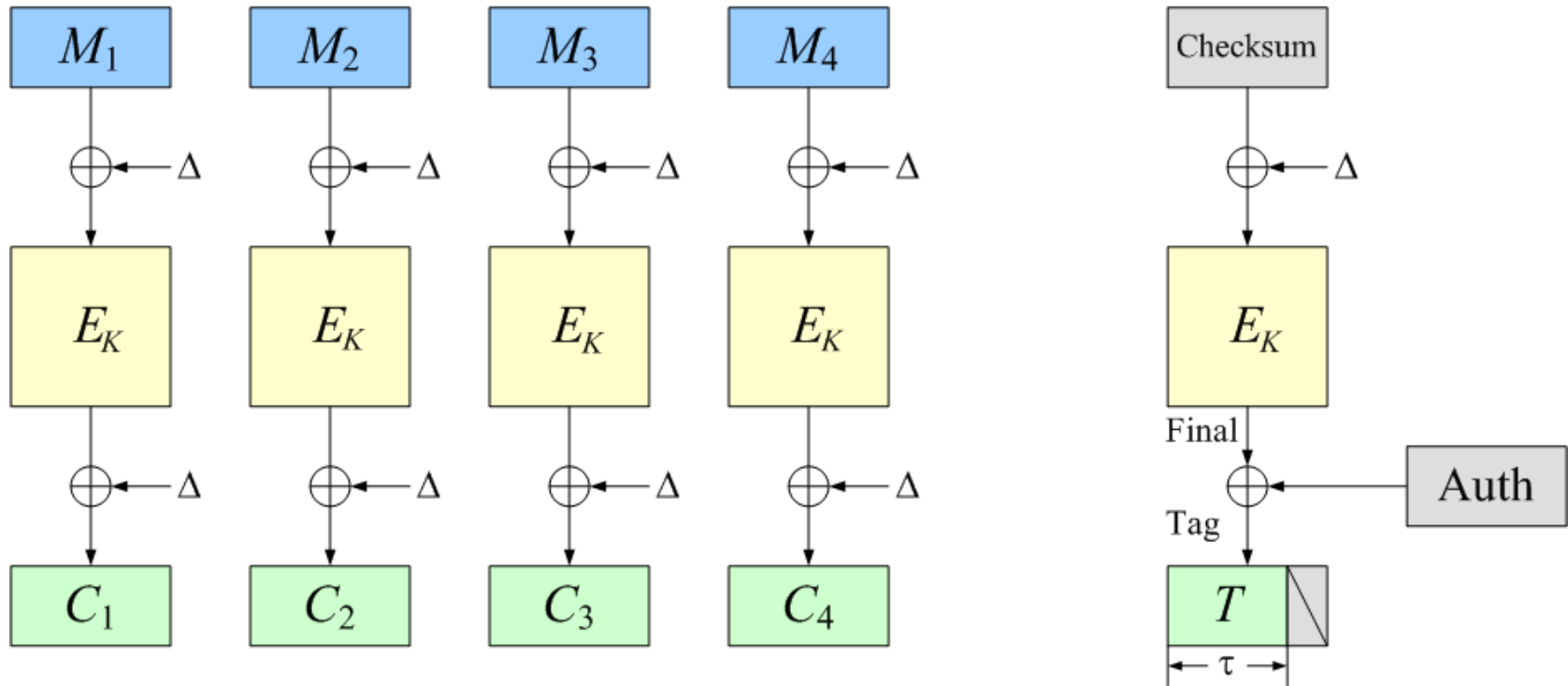
$\Delta \leftarrow \text{Inc}_1(\Delta)$

$\Delta \leftarrow \text{Inc}_2(\Delta)$

$\Delta \leftarrow \text{Inc}_3(\Delta)$

$\Delta \leftarrow \text{Inc}_4(\Delta)$

$\Delta \leftarrow \text{Inc}_5(\Delta)$



OCB Mode

[RBBK 2000 +]

Eg : Permutation-based OCB

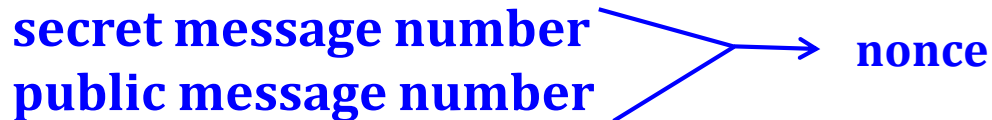
via [EM]: $E_K(X) = K \oplus \pi(x \oplus K)$

Parallelizable, rate-1, standard-model security claim

Summary and additional work

- **E&M / EtM / MtE** is specific to one setting — **probENC + MAC → probAE**
- Attack on **ISO 19772** as a symptom of over-generalization
- **nonceAE** definitional exploration — implications and separations
- Advocate **ind\$**-style definition
 - Implies everything one expects, tightly, including **anonymity**
- “All” **nonceENC + MAC → nonceAE**
- “All” **ivENC + MAC → nonceAE** via **computer-aided search**
 - **multi-input MACs** $\mathcal{E}_{KL}(N,M,A) = \mathcal{E}_K(IV, M \parallel S) \parallel T$
$$IV = F_L(C_{iv}, N \mid \diamond, M \mid \diamond, A \mid \diamond)$$
$$S = F_L(C_{in}, N \mid \diamond, M \mid \diamond, A \mid \diamond)$$
$$T = F_L(C_{out}, N \mid \diamond, M \mid \diamond, A \mid \diamond, C \mid \diamond)$$
 - **single-input MACs + XORs** — *analogous*
- For **perm → nonceAE**, making a **compositional approaches** sensible

CAESAR Draft 3.5 — Proposal



A scheme makes a **nonce confidentiality** choice:

- **no**: nonces are not incorporated into the ciphertext
- **yes**: nonces are incorporated into the ciphertext

```
int crypto_aead_decrypt(  
    const unsigned char *k  
    unsigned char *nonce, ← unsigned char *nsec,  
    const unsigned char *ad, unsigned long long adlen,  
    const unsigned char *c, unsigned long long clen,  
    unsigned char *m, unsigned long long *mlen,  
    ) const unsigned char *npub,
```